## Mid America Energy, Inc.

## **Default Order**

Date of Order: August 26, 2008

**Respondents:** Thomas J. McKenna

ALLEGATIONS: The Respondent transacted business in the State of Kansas as an agent at a time when he was not registered under the Kansas Uniform Securities Act, made a general solicitation for the sale of a security, and failed to disclose to a Kansas resident that he was subject of a regulatory action that resulted in a cease and desist order in Pennsylvania.

ORDER: On May 28, 2008, a Notice of Intent to Invoke Administrative Sanctions was filed and served on Thomas J. McKenna. The Respondent failed to request a hearing and was ordered by the Commissioner that he (1) cease and desist from offering and selling securities in Kansas, (2) be barred from association with any broker-dealer or investment adviser registered in the State of Kansas, (3) pay a fine in the amount of \$1,000, and (4) pay disgorgement in the amount of \$2,400.

**Notice to Investors:** If you are not a resident of Kansas and have been affected by actions that are similar to those described in this synopsis, you should consider contacting your state securities regulator for assistance. To locate your securities regulator, go to the <u>North American Securities Administrators Association</u> website. If you choose to contact your state securities regulator, please inform him or her of the action taken by the State of Kansas.